

IFW

PTO/SB/21 (07-08)

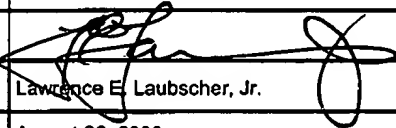
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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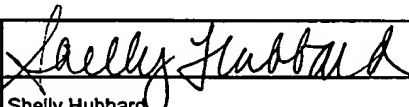
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/866,259	RECEIVED
	Filing Date	May 25, 2001	
	First Named Inventor	James Ching-Shau YIK	
	Art Unit	2661	AUG 29 2006
	Examiner Name		OFFICE OF PETITIONS
Total Number of Pages in This Submission	7	Attorney Docket Number	24252

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration; Credit Card Payment Form
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Laubscher & Laubscher, P.C.		
Signature			
Printed name	Lawrence E. Laubscher, Jr.		
Date	August 29, 2006	Reg. No.	28,233

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Shelly Hubbard	Date	August 29, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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571-275-0025

PTO/SB/17p (07-06)

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PETITION FEE
Under 37 CFR 1.17(f), (g) & (h)
TRANSMITTAL
 (Fees are subject to annual revision)

Send completed form to: Commissioner for Patents
 P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	09/866,259
Filing Date	May 25, 2006
First Named Inventor	James YIK
Art Unit	2661
Examiner Name	
Attorney Docket Number	24252

RECEIVED
AUG 29 2006
OFFICE OF PETITIONS

Enclosed is a petition filed under 37 CFR 1.182 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ 400 is enclosed.

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(l), see form PTO/SB/17l.

Payment of Fees (small entity amounts are NOT available for the petition fees)

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 50-1936:
☐ petition fee under 37 CFR 1.17(f), (g) or (h) ☒ any deficiency of fees and credit of any overpayments
 Enclose a duplicative copy of this form for fee processing.

☐ Check in the amount of \$ _____ is enclosed.

☒ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462

For petitions filed under:

- § 1.36(a) - for revocation of a power of attorney by fewer than all applicants
- § 1.53(e) - to accord a filing date.
- § 1.57(a) - to accord a filing date.
- § 1.182 - for decision on a question not specifically provided for.
- § 1.183 - to suspend the rules.
- § 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.
- § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.

Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463

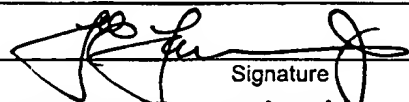
For petitions filed under:

- § 1.12 - for access to an assignment record.
- § 1.14 - for access to an application.
- § 1.47 - for filing by other than all the inventors or a person not the inventor.
- § 1.59 - for expungement of information.
- § 1.103(a) - to suspend action in an application.
- § 1.136(b) - for review of a request for extension of time when the provisions of section 1.136(a) are not available.
- § 1.295 - for review of refusal to publish a statutory invention registration.
- § 1.296 - to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.
- § 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) - for patent owner requests for extension of time in *ex parte* reexamination proceedings.
- § 1.956 - for patent owner requests for extension of time in *inter partes* reexamination proceedings.
- § 5.12 - for expedited handling of a foreign filing license.
- § 5.15 - for changing the scope of a license.
- § 5.25 - for retroactive license.

Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464

For petitions filed under:

- § 1.19(g) - to request documents in a form other than that provided in this part.
- § 1.84 - for accepting color drawings or photographs.
- § 1.91 - for entry of a model or exhibit.
- § 1.102(d) - to make an application special.
- § 1.138(c) - to expressly abandon an application to avoid publication.
- § 1.313 - to withdraw an application from issue.
- § 1.314 - to defer issuance of a patent.


 Signature
 Lawrence E. Laubscher, Jr.
 Typed or printed name

August 29, 2006
 Date

28,233
 Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 29 2006

PATENTS

OFFICE OF PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

James Ching-Shau YIK et al.

Group Art Unit: 2622

Serial No. 09/866,259

Examiner: Kelvin Y. Lin

Filed: May 25, 2001

For: DATA NETWORK NODE HAVING ENHANCED SECURITY FEATURES

PETITION TO CHANGE INVENTOR NAME

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

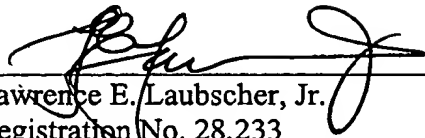
In accordance with the provisions of 37 C.F.R. § 1.182 and MPEP § 605.04(c), please change the name of inventor Eric LIN to Changhwa LIN.

Submitted herewith is a Declaration of Changhwa Lin supporting the correction. As set forth therein, inventor Lin's formal name is Changhwa Lin and his informal name is Eric Lin. His use of his informal name in the original Declaration was a mistake and not made with deceptive intent.

A credit card payment form for the Petition Fee is attached. Please charge any other fees required for entry of this Petition to Deposit Account No. 50-1936.

Application No. 09/866,259

Respectfully submitted,

August 29, 2006

Lawrence E. Laubscher, Jr.
Registration No. 28,233
Laubscher & Laubscher, P.C.
1160 Spa Road, Suite 2B
Annapolis, MD 21403
Telephone: (410) 280-6608

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence consisting of 7 pages (including cover) is being transmitted to the U.S. Patent and Trademark Office at facsimile No. 571-273-0025 on August 29, 2006.

Shelly Hubbard

2 Signature: 

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

James YIK et al.

Group Art Unit: 2661

RECEIVED

Application No. 09/866,259

AUG 29 2006

Filed: May 25, 2001

OFFICE OF PETITIONS

For: DATA NETWORK NODE HAVING ENHANCED SECURITY FEATURES

DECLARATION

I, CHANGHWA LIN of 7 Carriage Drive, Irvine, California 92602, U.S.A. declare:

that my full legal name is CHANGHWA LIN;

that I introduce myself to others as Eric Lin and I have been using this name as a convenience in order to avoid transliteration related difficulties in the spelling of my legal name, CHANGHWA LIN;

that I am also known informally, and on a day to day basis, by others in my professional and personal life as Eric Lin;

that in my capacity as employed engineer, I have applied for intellectual property protection including patent applications worldwide;

that I have executed certain documents (hereinafter "Certain Executed Documents") relating to these intellectual property matters using my informal name Eric Lin, consistent with my routine and daily use of that name;

that my use of the name Eric Lin was in no way intended to deceive or serve any improper purpose;

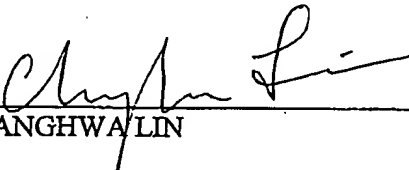
that despite the use of my informal name Eric Lin, I intended to be bound by, and to enjoy various rights arising, from the executed documents in the same manner as if I had signed under my legal name CHANGHWA LIN;

that I authorize any entity who relies, possesses or in any other way refers to the Certain Executed Documents to regard my signature as Eric Lin to have the same force and effect as if I had signed as CHANGHWA LIN;

that I authorize any entity who relies, possesses or in any other way refers to the Certain Executed Documents to regard my signature as Eric Lin to have the same force and effect as if I had signed as CHANGHWA LIN; and

that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Nov 3, 2005


CHANGHWA LIN

*** RX REPORT ***

RECEPTION OK

TX/RX NO	5824
RECIPIENT ADDRESS	410 280 6758
DESTINATION ID	
ST. TIME	08/29 15:46
TIME USE	01'34
PGS.	7
RESULT	OK

RAM Fee History
Query
Revenue Accounting and Management

Name/Number: 09866259

Total Records Found: 5

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Fee Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
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09/17/2001	00000048	<u>1</u>	<u>122</u>	\$130.00	09/14/2001	CK
05/31/2001	00000130	<u>1</u>	<u>101</u>	\$710.00	05/25/2001	CK
05/31/2001	00000131	<u>1</u>	<u>102</u>	\$400.00	05/25/2001	CK

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